



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Form PTO-1449 SERIAL NO.: ATTORNEY DOCKET NO .: 14104/198998 09/780,964 APPLICANT: D'Amico et al. MATERIAL INFORMATION STATEMENT GROUP: (Use several sheets if necessary) FILING DATE: 2161 February 9, 2001

\$		U	S. PATENT DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE	E IF
m	5,758,097	05/26/98	Debe et al.				
m	5,761,442	06/02/98	Barr et al.			4	
m	5,806,048	09/08/98	Kiron et al.			Technology Ve.	到
m	⁵ ,852,811	12/22/98	Atkins			9	7
m	5,930,774	07/27/99 -	Chennault			92	,
m	5,978,778	11/02/99	O'Shaughnessy			Ş	2
m	6,012,044	01/04/00	Maggioncalda et al.			1	%
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mi	6,018,722	01/25/00	Ray et al.				
		FOR	EIGN PATENT DOCUMENTS		-		
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES N	ON O
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

mn	Pages printed from http://www3.investorsforecast.com Vision page, Febuary 3, 2000.
mi,	A Prospectus for MutualMinds.com filed with the Securities and Exchange Commission (SEC) on April 13, 2000.
m	Pages printed from http://www.mutualminds.com Help page, July 17, 2000.
EXAMINER:	Manguyen DATE CONSIDERED: 3/15/05

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Substitute for form 1449A/PTO		ompl t if Known	
	Application Number	09/780,964	
INFORMATION DISCLOSURE	Filing Date	02/09/01	
STATEMENT BY APPLICANT	First Named Inventor	Gregory D'Amico et al.	
	Group Art Unit	2161	
(use as many sheets as necessary)	Examiner Name	Nga B. Nguyen	
Sheet 1 of Q	Attorney Docket Number	14104/198998	

				U.S. PATENT DOC	JMENTS	
Examiner nitials*	Cite No.1	l Number	ocument Kind Code ² (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
nn		5,806,047	B1	Hackel et al.	09/08/1998	
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mu		6,134,535	B1	Belzberg	10/17/2000	
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Sheet	2	of	2		Atto	mey Docket Number	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	 -
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
MI		Pages printed from website located at www.calcoastcu.org/infodesk/hffo/0999_a.htm entitled "Investment Clubs offer Chance for Fun, Education and Profit" by Linda Abbott, copyrighted 1999.	
mi		International Search Report; International Application No. PCT/US01/04196; Date of Mailing, April 27, 2001.	
me		Written Opinion; International Application No. PCT/US01/04196; Date of Mailing, August 1, 2001.	
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